"ALEXANDRU IOAN CUZA" UNIVERSITY IAŞI

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Registration number UAIC	Registration number ARACIS

To The ARACIS Council

The Board of Directors of

"Alexandru Ioan Cuza" University Iaşi decided – pursuant to the legal provisions into effect and based on the *Guideline for the regular external evaluation of the doctoral schools* and on the *Guideline for the regular external evaluation of the doctoral fields* – to request the launching of regular external evaluations of the doctoral studies for the following doctoral schools and for the following doctoral studies:

No.	Doctoral school	Doctoral fields within the establishment	In charge (first and last name, email, telephone	No. of scientific tutors/field
1.	History	1. History	Prof. Liviu Pilat, PhD, liviu.pilat@uaic.gmail.com, tel. 0740 000 616	10

The Director of the Doctoral Studies Council (CSUD) is Prof. Ionel Mangalagiu, PhD, with the following contact information:

- Telephone:0232 201014
- E-mail: ionelm@uaic.ro

I mention that I have been informed concerning the amount of fees within the *Decision of* the ARACIS Council No. 2 of 28.01.2021 on the approval of fees for the external evaluation of doctoral studies:

- https://www.aracis.ro/wp-content/uploads/2021/03/HC-2-28.01.2021.pdf

RECTOR,
Prof. PhD. Tudorel TOADER

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INTERNAL EVALUATION REPORT

Data submitted to the Accreditation Department within ARACIS by:

The Faculty: OF HISTORY

The field of doctoral studies: History

Contact person: Prof. Liviu Pilat, PhD

e-mail: liviu.pilat@gmail.com telephone: 0740 000 616

The data within this Report are complete, accurate and in conformity with the principles of professional ethics.

Doctoral School Director, CSUD Director,

Prof. Liviu Pilat, PhD Prof. Ionel Mangalagiu, PhD

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1. OVERVIEW OF THE HISTORY DOCTORAL SCHOOL WITHIN "ALEXANDRU IOAN CUZA" UNIVERSITY IAȘI

1.1. Constitution

The History Doctoral school of UAIC was founded in 2005, pursuant to a Decision of the UAIC Senate, through an Order of the Ministry of Education and Research 4491/06.07.2005 on the organisation and the course of doctoral studies starting with the academic year 2005/2006, through the Operational Regulations of doctoral studies approved by the University Senate in 27.06.2017 (uaic.ro/.../Regulament...FINAL.pdf). It has been continuously active thus far Regulamentului institutional de organizare și funcționare a studiilor de doctorat.

1.2. Structure

The History Doctoral school comprises 10 scientific tutors who have taken on various topics, ranging from Ancient History to Contemporary History (history.uaic.ro/.../studii-universitare-de-doctorat/#conducatori). It is led by the Council of the Doctoral School, run by the Director of the Doctoral School (history.uaic.ro/.../studii-universitare-de-doctorat/#manag). The election of the CSD system is set by the Regulations of the Doctoral School and by the Election Methodology approved by the UAIC Senate (history.uaic.ro/.../studii-universitare-de-doctorat/#manag). The election of the CSD system is set by the Regulations of the Doctoral School and by the Election Methodology approved by the UAIC Senate (https://www.uaic.ro/.../Metodologia-alegerilor-VOTATA-in-26.11.2015.pdf).

1.3 Evolution

The number of spots occupied varied by the number of State-subsidised spots and by the requirements of a doctoral school, and not least by the demographic situation. However, each year, all the spots were occupied. Another positive aspect is that we have attracted graduates from other university centres in the country (in 2018, we had a graduate from Constanta) and from other Faculties at UAIC (Ștefan Cianga, a Letters graduate). Furthermore, the Doctoral school enrolled two female doctoral candidates from Italy, from the University of Bari (Laura Marzo and Federica Calabrese). A third positive aspect is the fact that the graduates defended mostly good and very good theses. Thus far, NO THESIS was INVALIDATED by the CNATDCU (whereas theses from other university centres were invalidated). The fourth positive aspect is represented by new habilitations (Prof. Leustean, Prof. Pilat, Prof. Zahariuc, Assoc. Prof. Topor), who are the latest to join the doctoral tutors for Modern, Contemporary and Medieval History. A fifth positive aspect is the quality of the doctoral tutors at The Faculty de History. They have all authored prestigious publications and a remarkable didactic activity. Among improvable aspects, it is worth noting that for the specialty of Prehistory, at least one doctoral tutor is necessary. The perspectives are optimistic, if we consider that the Doctoral school of the Faculty of History has been attracting quality doctoral candidates from the entire region and more, from the great university centres in the country. For this information, you may consult the website history.uaic.ro/studenti/scoaladoctorala.



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1.4 Research mission

The research at UAIC in general (at The Faculty of History and at the Doctoral school of History) is visible internationally, at a very high standard. The mission of the Doctoral School is to create prestigious researchers starting from the doctoral students they train. The members of The History Doctoral school published monographs at prestigious international publishing houses (Lucrețiu Bîrliba at Harrassowitz and Peeters, Laurențiu Rădvan and Liviu Pilat at Brill). The doctoral tutors – with no exceptions – published papers in international journals and in collective volumes published at international publishing houses, participated at prestigious international conferences (see Annex A.1.1.1.1a, A.1.1.1.1b, A.1.1.1.1c, A.1.1.1.1d, A.1.1.1.1e, A.1.1.1.1af, A.1.1.1.1g, A.1.1.1.1b, A.1.1.1.1i, A.1.1.1.1j). The research mission they took over has been successful from several perspectives: including doctoral candidate in research teams, encouraging the doctoral candidates to publish in prestigious journals (Marian Mocanu, Ana Odochiciuc, Ștefan Honcu, Ruxandra Alexianu, Sorin Grigoruță, Remus Tanasă, Eugen Sclifos, Alexandru Aioanei, Marian Hariuc, etc); eventually, including as many doctors as possible in research establishments (Marian Mocanu, Ștefan Honcu, Sorin Grigoruță, Alexandru Aioanei, Marian Hariuc, etc) (Annex A.1.3.1.).

1.5. The quality certification level

The History Doctoral school was granted temporary authorisation, one reason for it being the quality of its members and of its doctoral candidates. The members of the Doctoral School have published abroad and they have been invited to hold conferences abroad (Prof. Bîrliba, PhD and Prof. Zugravu, PhD, were visiting professors at Innsbruck, Valenciennes, and Sassari). The doctoral students of the Doctoral School have been accepted to participate in international conferences, and their papers have been accepted for international journals (see Annexes A.1.1.1.1a, A.1.1.1.1b, A.1.1.1.1c, A.1.1.1.1d, A.1.1.1.1e, A.1.1.1.1af, A.1.1.1.1g, A.1.1.1.1h, A.1.1.1i, A.1.1.1j and A.1.3.1). Furthermore, foreign university teachers have held conferences the doctoral candidates **Doctoral** for of School (history.uaic.ro/cercetare/manifestari-stiintifice/).

1.6. Specific quality management and ethics and professional deontology awareness measures implemented at the level of the doctoral school

Quality management in The History Doctoral school is ensured by The Council of The History Doctoral school, through the regulations (history.uaic.ro/.../Regulament-SD-de-Istorie-martie2018.pdf, articles 4 and 5). It concerns mostly the decision making concerning the minimal scientific performance standards, pursuant to the legal provisions into effect. Other provisions concerning quality management (internal evaluation, peer review, etc) are set through the same regulations (history.uaic.ro/.../Regulament-SD-de-Istorie-martie2018.pdf, article 35).

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1.7. Overview of human resources

The History Doctoral school comprises 10 <u>scientific tutors</u> who oversee various topics, ranging from Ancient History to Contemporary History (<u>history.uaic.ro/specializari/studii-universitare-de-doctorat/#conducatori</u>). All of them are renowned specialists. The number of the doctoral candidates has varied from one year to another, but all the spots available have been occupied.

The Table below features the evolution of enrolments per years.

Doctoral		Doctoral candidates enrolled					
Doctoral school	2016–	2017-	2018-	2019-	2020-		
SCHOOL	2017	2018	2019	2020	2021		
History Doctoral							
Doctoral school	17	16	19	14	11		

1.8. Description of the research infrastructure at the level of the doctoral school

Facilities and research infrastructure available to the doctoral candidates:

- Hall I.2 + 76.64 square metres -56 places
- Hall II.7 + 88.45 square metres 102 places
- Hall II.5 + 81.20 square metres 70 places
- Hall H1 -79.65 46 places
- Hall R30 24 places

All the halls have sound systems and laptops.

2. OVERVIEW OF THE DOCTORAL FIELD/S

2.1. Objectives

2.1. Objectives

The objectives of the History Doctoral Field are the following:

- 1) ensuring excellence in the didactic training process and mostly in the research process;
- 2) ensuring a material base capable of facilitating the research conditions of the doctoral candidates;
- 3) ensuring a formation and lifelong learning process within the doctoral studies cycle;
- 4) ensuring the labour insertion of as many graduates as possible;
- 5) including as many graduates as possible in research units;
- 6) ensuring research internships and practicums at establishments in the country and abroad;
- 7) ensuring a significant number of international exchanges for the academic staff of the Doctoral School and for its students;
- 8) ensuring a significant capacity of the Doctoral School of holding lectures abroad;
- 9) stimulating the doctoral candidates to get involved in research projects;

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10) increasing the competitiveness of the members of the Doctoral School (to participate in project contests with national and international funding)

2.2. Mission

The mission of the History Doctoral Field – represented by the Doctoral school of the Faculty of History – is to train high-quality specialists the field of History and Cultural Studies, capable of carry out qualitative national and international researches, ensuring at the same time as many graduates as possible in research units and in qualified fields on the labour market.

2.3. Curriculums

The doctoral studies programme has a curriculum comprising the additional training module, through which each doctoral student is in contact direct with the scientific tutor and through which the work of PhD candidates is assessed, improved, and valued. Besides this complementary training module, the curriculum also includes the subjects *Orientations in contemporary historiography: major themes and methods* and *Methodology and ethics of writhing a PhD thesis*.

Compulsory subjects	Course + Seminar	Assessment form	Credits
Orientations in contemporary historiography: major themes and methods	2+2	Examination	10
Academic ethic and integrity	2+2	Examination	10
Complementary training module	2+2	Colloquium	10
TOTAL		2E+1C	30

See also history.uaic.ro/studenti/scoala-doctorala/plan-de-invatamant/.

2.4. Number of scientific tutors

The History Doctoral school comprises 10 scientific tutors, overseeing various themes, from Ancient History to Contemporary History (history.uaic.ro/.../studii-universitare-de-doctorat/#conducatori). It is led by a Council of the Doctoral School, run by the Director of the Doctoral School (history.uaic.ro/.../studii-universitare-de-doctorat/#manag). Al of them (100%) meet the current habilitations criteria (see Annexes A.1.1.1.1a, A.1.1.1.1b, A.1.1.1.1b, A.1.1.1.1d, A.1.1.1.1d, A.1.1.1.1d, A.1.1.1.1d, A.1.1.1.1d, A.1.1.1.1d and A.1.1.1.2) they are all quality researchers in their respective field. The members of The History Doctoral school have published monographs at prestigious international publishing houses (Lucrețiu Bîrliba at Harrassowitz and Peeters, Laurențiu Rădvan and Liviu Pilat at Brill). The doctoral tutors published (with no exceptions) papers in international journals and in collective volumes published at international publishing houses, participated at prestigious international conferences (see Annex A.1.1.1.2).



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The active doctoral tutors at The History Doctoral school in the academic year 2020-2021

No.	Institution	The field of	Teachi	Last and	Minister's Order No.	Tenured
	organising the	doctoral	ng/rese	first name	conferring the	at
	doctoral studies	studies	arch		capacity of doctoral	
	[hereinafter		positio		tutor/habilitation/rec	
	IOSUD]		n		ognition decision	
	"ALEXANDRU	History	Prof.	Birliba	5842/4.11.2008	UAIC
1	IOAN CUZA"		PhD	Lucreţiu-		
	UNIVERSITY Iași			Ion		
2	"ALEXANDRU	History	Prof.	Cliveti	3775/10.05.2000	Retired
	IOAN CUZA"	-	PhD	Gheorghe		
	UNIVERSITY Iași			_		
3	"ALEXANDRU	History	Prof.	Iacob	4110/05.06.2003	Retired
	IOAN CUZA"	-	PhD	Gheorghe		
	UNIVERSITY Iași					
	History					
4	"ALEXANDRU	History	Prof.	Leuştean	3716/13.04.2017	UAIC
	IOAN CUZA"	-	PhD	Lucian		
	UNIVERSITY Iași					
5	"ALEXANDRU	History	Assoc.	Pilat Liviu	3870/04.06.2018	UAIC
	IOAN CUZA"	-	Prof.			
	UNIVERSITY Iași		PhD			
6	"ALEXANDRU	History	Prof.	Platon	479_05.03.2007	UAIC
	IOAN CUZA"	-	PhD	Alexandru		
	UNIVERSITY Iași			-Florin		
7	"ALEXANDRU	History	Prof.	Rădvan	4104 MD/05.07.2013	UAIC
	IOAN CUZA"		PhD	Laurenţiu		
	UNIVERSITY Iași					
8	"ALEXANDRU	History	Prof.	Zugravu	4807/17.08.2005	UAIC
	IOAN CUZA"		PhD	Nelu		
	UNIVERSITY Iași					
9	"ALEXANDRU	History	Assoc.	Topor	6303/22.12.2020	UAIC
	IOAN CUZA"		Prof.	Claudiu-		
	UNIVERSITY Iași		PhD	Lucian		
10	"ALEXANDRU	History	Prof.	Zahariuc	5840/16.10.2020	UAIC
	IOAN CUZA"	-	PhD	Petronel		
	UNIVERSITY Iași					

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2.5. Evolution of the number of doctoral candidates

The evolution of the number of doctoral candidates is illustrated in the Table below.

_	Doctoral candidates enrolled					
Doctoral school	2015-	2016-	2017-	2018-	2019-	2020-
SCHOOL	2016	2017	2018	2019	2020	2021
History						
Doctoral school	18	17	16	19	14	11

2.6. Evolution of the number of doctors in the last five years

Doctoral	Evolution of the number of doctors				
Doctoral	2015-	2016-	2017-	2018-	2019-
school	2016	2017	2018	2019	2020
History					
Doctoral	11	11	2	11	11
school					

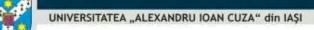
2.7. Research centres/laboratories

The scientific research of the teaching and research staff of the Doctoral School is among the best in Romania. It follows the individual plan of each member, pursuant to the strategic directions of the faculty. Research internships have been completed and are in progress and universities and research institutions in the country and abroad. The research outcomes are disseminated through the media, by publishing scientific works, special courses, books, studies, papers in nationally and internationally acclaimed publications, by updating permanently the curses taught to students. This activity has been acknowledged nationally through the awards granted by the Romanian Academy and the merit diplomas granted by The Ministry of Culture and Cults.

Several **research centres function under the aegis of the Faculty**: The Centre for the History of Jews and Jewish Studies, The Centre of International Relations History, The Centre of Classical and Christian Studies, The Centre of 20th-Century History, The Centre of Studies on Communism and Communism and an Interdisciplinary Archaeology Laboratory (https://distory.uaic.ro/cercetare/centre-de-cercetare-2/). The Arheoinvest Platform benefits from state-of-the-art research equipment (arheoinvest.uaic.ro).

2.8. The main scientific achievements

The members of The History Doctoral school have published monographs at prestigious international publishing houses (Lucrețiu Bîrliba at Harrassowitz and Peeters, Laurențiu Rădvan and Liviu Pilat at Brill). The doctoral tutors published (with no exceptions) papers in international journals and in collective volumes published at international publishing houses, participated at



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Within the Faculty, it is worth highlighting the **publications featuring the doctoral field of History:** "Annals of «Al. I. Cuza» University Iaşi. History Series", "Medieval and Early Modern Studies for Central and Eastern Europe". The Archaeology Seminar edits annually the journal "Studia Antiqua et Archaeologica", The Centre of Classical and Christian Studies edits the journal "Classica et Christiana". These publications host the scientific contributions of the Doctoral School teachers and of its students; many of them published in these journals. The student academic forums (organised by periods: ancient, medieval, modern, and contemporary history) provide the students with the possibility of disseminating the outcomes of their individual study, while the study trips in the country and abroad help them get an insight int the national and international cultural heritage. The works presented by the teaching staff and the students of the Doctoral School at scientific events, at colloquiums organised in the country and abroad have enjoyed the recognition of the participants. Each year, scientific paper sessions are organised to celebrate the Days of "Alexandru Ioan Cuza" University Iasi.

Excavations have been carried out in the **archaeological sites** of Cucuteni, Siret, Halmyris, Siliştea, Slava Rusă, with significant outcomes. These sites also host the practicum for students within all university study cycles, the doctoral cycle included.

2.9. Other information

There are also research agreements, within which the doctoral students have been conducting their activities.

http://history.uaic.ro/cercetare/granturi/

3. FUNCTIONING OF THE INTERNAL QUALITY ASSURANCE SYSTEM AT THE LEVEL OF THE DOCTORAL SCHOOL

3.1. The objectives and general structure of the internal quality assurance system

Thera are structures that oversee quality management at the level of the university and of each faculty. The Commission for Quality management(Comisia pentru Managementul Calității is organised and it functions in agreement with the provisions of the National Education Law and of the Law 87/2006 for the approval of the Emergency Government Ordinance 75/2005 on ensuring education quality. *The Commission* se is subordinated to the University Board of Directors and it is led by a president, elected by The Board of Directors. The UAIC Quality Management Commission has specific regulations and procedures for the quality management system to develop its own quality culture. The execution activities in the field of quality management are performed by the members of the Quality Management Office.

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The Office supports the Commission for Quality Management in carrying out quality management, by planning actions, preparing self-assessment reports and documents specific to quality assurance, training staff on quality assurance, by participating in the internal and external evaluation process.

At UAIC there is a program of quality-focused policies, specifying the means of implementation summarized and presented in the Quality Assurance Code (<u>Codul de asigurare a calității</u>) and in the Quality Manual (<u>Manualul calității</u>).

The process of controlling the documents assimilated to the Quality Management System at the University level, as well as the responsibilities arising from it are regulated by:

- ➤ <u>Procedura privind elaborarea procedurii documentate</u> establishes the method of initiation, elaboration, endorsement, approval, as well as the content, format, revision and archiving of any documented procedure by activities, used within the quality management system and the internal / managerial control system of UAIC;
- Procedura de sistem, privind iniţierea, elaborarea, aprobarea, difuzarea, retragerea şi, arhivarea informaţiilor documentate (cod, ghid, regulament, metodologie sau a altor documente asimilate Sistemului de management al calităţii) din cadrul Universităţii "Alexandru Ioan Cuza" din Iaşi establishes the manner and responsibilities for initiating, developing, approving, disseminating, withdrawing and archiving codes, guides, regulations, methodologies and other documents assimilated to the Quality Management System at the University level.

At the level of the Faculty of History <u>The Comission for Quality Assurance and Evaluation</u> is set up and it is subordinated to the central commission. Institutional practices and policies in the field of quality are adapted to the requirements specified in the Methodology on quality assurance, temporary operation authorization and accreditation of study programs and higher education institutions, carried out by ARACIS, being in accordance with the provisions of Government Emergency Ordinance no. 75/2005 on quality assurance of education and Law no. 87/2006, with subsequent amendments.

The Comissions for Quality Assurance and Evaluation at the faculties level can be found here Biroul Managementul Calității - Universitatea "Alexandru Ioan Cuza" din Iași (uaic.ro).

3.2.Policies of quality assurance

These policies are projected al University's level through the Strategic Plan (PS) and Operational Plan (PO). Each Faculty elaborates these plans at their level.

At the Faculty of History there is a permanent concern on quality process and on efficient display of its mechanisms for the accomplishment of the following objectives: periodic evaluation of the staff, analysis and improvement of teaching plans and of analytic programs of the disciplines.

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3.3 Participation of the interested parties in the quality assurance process

At the basis of evaluation of study programs from the perspectives of needs and objectives identified on the work market, there is the Regulation on initiation, approva and monitorizing the study programs, certified by the University Senate <u>Regulamentul privind iniţierea, aprobarea, monitorizarea şi evaluarea programelor de studii</u>.

The Doctoral School of History and the Faculty of History have concrete structures, strategies and procedures for the management and quality assurance of teaching, learning and research activities and for the development of their own culture of quality. The structures, policies and strategies adopted create the institutional framework for the effective development and monitoring of quality and for the continuous improvement of quality standards.

From 2014, the activity of the quality improvement is guided also by Code of quality stipulations (according to the legislation updates) and, from 2018, we have a Manual of quality, a document advised by the Administration Council and approved by the University Senate. Following the Manual of quality rules is a compulsory request for all documented processes, from the academic structure and the University administrative structure, also. MC is used in internal purposes, in order to maintain the conformity of assurance quality processes, to informing and instructing all personnel regarding SMC functioning, and also, for external purposes, in order to be diffused to ministerial structures, institutions of evaluation and accreditation, control agencies, partners, recipients and other interested part, at their request.

3.4. Quality assurance system and university management

Within UAIC is active Quality Management Office <u>Biroul Managementul Calității (BMC)</u> subordinated to the Vice-Rector for Master, PHD Programs , Quality Programs and UAIC Extensions. BMC has an executive role in implementing quality management strategies and policies:

- follows the implementing quality management strategies and policies in Faculties and University administratiom
- assures logistic support for the Central Board in synthesis, evaluation, control and quality improving;
- centralize the results of periodic measurements of students, satisfaction on the University/faculties services (admission, educatio, social, documentation, administration, secretary, consulting etc.);
- centralize the results of periodic measurements of emlpoyerst satisfaction;
- propose solutions on increasing the service quality in University;
- elaborates the annual report on internal evaluation on education quality, as well as other evaluation reports;
- momitorize the achievement of yearly plans of incrasing quality at the faculties and at administratiove structures of the University, elaborates and proposes to the Board of Quality Management the Annual Plan of Quality Improving for the University;
- follows the University ranking in national and international rankings and develops analysis for new strategies;





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- transmits these analysis to the central Board of Quality Management;
- cooperates with the Roamanian Agency for Quality Assurance in High Teaching Levelr (ARACIS), and other similar agencies and organisms;
- collects and transmits information from other parts, which are necessary for the University in the quality activities;
- disseminates information on national reference standards in the University, as well as on performance indicators;
- colets and transmits in University informationa on european/international satudars in quality evaluation;
- prepares all documents concerning quality assurance and follows the fullfilling of the objectives and decisions.

"Alexandru Ioan Cuza" University of Iași is collaborating with ARACIS in external evaluation at institutional level. The Quality Management Office maintains contact with the quality assurance commissions of the 15 faculties and with the heads of their administrative departments to ensure the institutional evaluation and collaborates closely with the following structures dedicated to Quality Management:

- Quality Management Commission Comisia pentru Managementul Calității, chairman conf. univ. dr. Florin BRÎNZĂ University level. The Quality Management Commission is organized and activates accoding to the Law Legii 87/2006 for the approval of Ordonanței de Urgență a Guvernului 75/2005 on assurance of education quality. The Commission is subordinated to the Administration Board and it is lead by a Chairman, appointed by Administration Board.
- The Commissions of quality evaluation and assurance in the Faculties <u>Comisiile de evaluare și asigurare a calității</u>— perform the quality assurance activities in the faculty, supervises the quality of the study programs, elaborates annually the Internal Evaluation Report on the quality of education and assists the external evaluations of the study programs in the faculty.

3.5. Transparency and access to information

The activity of the Commissions of quality evaluation and assurance may be visualised at https://www.uaic.ro/organizare/departamentul-managementul-calitatii/, while each Doctoral School ensures Transparency and access to information.

The Doctoral School for History web page presenting doctoral studies includes information on:

- Regulation on the activity of doctoral studies at the level of the doctoral school
- List of active members of the doctoral school with contact details and field of research of interest
- Minimum standards for obtaining the habilitation certificate
- Admission methodology, developed annually
- Topics for admission
- Information on the competition schedule, fees, number of places and content of the file.

UNIVERSITATEA "ALEXANDRU IOAN CUZA" din IAȘI PER LIBERTATEM AD VERITATEM



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In addition to this information, the website publishes the announcements regarding the public defences of the habilitation theses, the doctoral theses and the public defences of the research reports within the individual scientific research program of the doctoral students.

3.6. Efficiency of internal quality assurance procedures and structures

The efficiency of internal quality assurance procedures is ensured first and foremost by the <u>Quality Management Office</u> and <u>Quality Management Commission</u>.

The Board of Quality Commission of evaluation and quality assurance at the Faculy of History has as chairman prof. dr. Lucrețiu Bîrliba, and as members prof. dr. Laurențiu Rădvan and conf. dr. Ionut Nistor.

Through its own Chart, at the Doctoral School, this evaluationn is assured by the CSD- Doctorl School Council http://history.uaic.ro/wp-content/uploads/2021/02/Regulament-SDIstorie-incepand-cu-anul-univ2017-2018-anul1-aprobat-in-CSUD-n.pdf, art. 10.

3.7. Internal quality assurance system – tool for improving education and other activities

The Internal quality assurance system is featured on the website https://www.uaic.ro/organizare/departamentul-managementul-calitatii/ and https://www.uaic.ro/wp-content/uploads/2018/06/Manualul-calitatii_UAIC_vers-1.0/ 2018.05.pdf

The BMC supports all quality activities of the Board for Quality Managment.

These activities are founded on rules and regulations: regulation for initiation, approval, monitorizing and periodical evaluation of tudy programs, the Quality Handbook Manualul calității, The Code of Quality Assurance in UAIC Codul de Asigurare a Calității al UAIC, other documents

The policies on quality reffer to:

- improving of quality management system;
- development of a quality cultuere based on international standards;
- permanent quality evaluation in order to correlate the student-centered education with the demands of regional partners and with the development strategy of the university.

The stategies for quality assurance provide following items:

- orientation to the work market and to studentst and employers expectancies;
- the proactive attitude of the institutional management in quality problem, through creation of an adequate milieu of performance;
- the approach of quality in strategic terms: mission, values, principles, strategies, objectives;
- controlling and improving the institutional processes;
- involving and making the staff responsible;
- concern to develop a quality culture;
- identification of relevant indicatrs of qualty and implementing the mechanism of internal evaluation:



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- documenting the system in supllying proofs of confidence;
- comparative analysis ith other universities, in order to get inspired by the best practices in quality activities;
- implementing a quality cuture for all the university staff.

The measures adopted by UAIC on quality concern following items:

- approval, monitorizing, periodic evaluation of study programs and diplomas;
- existance of objective procedures of evaluation of learning results;
- teaching and research staff;
- functioning of organising structures for quality assurance.

The system of the quality insurance is presented on the site https://www.uaic.ro/organizare/departamentul-managementul-calitatii/ and https://www.uaic.ro/wp-content/uploads/2018/06/Manualul-calitatii/ UAIC vers-1.0 2018.05.pdf.

3.8. Monitoring, evaluation, and continual development of the internal quality assurance system

The monitoring and development of the quality evaluation system is carried out through https://www.uaic.ro/wp-content/uploads/2011/ROF-CMC_aprobat-10.12.2020.pdf, https://www.uaic.ro/wp-content/uploads/2013/12/Regulament-DepEnsuringCalitatii.pdf, https://www.uaic.ro/wp-content/uploads/2018/06/Manualul-calitatii_UAIC_vers-1.0 2018.05.pdf .

The evaluation of doctoral schools at UAIC is achieved annually. The doctoral schools contribute in providing valuable information on the PhD supervisors, on infrastructure and propose new strategies of improvement.

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4. SELF-EVALUATION OF MEETING THE PERFORMANCE CRITERIA, STANDARDS, AND INDICATORS

4.1. (A) INSTITUTIONAL CAPACITY

4.1.1. Implementation of efficient functioning mechanisms for the studies of doctoral field of History

The establishment organising doctoral studies (IOSUD) has implemented the efficient functioning mechanisms stated in the specific legislation on the organisation of doctoral studies

- A.1.1.1 There are specific regulations and rules on their application at the level of IOSUD and of the Doctoral School, as follows:
- (a) Internal Regulations of the administrative structures (Institutional Regulations for the organisation and course of the doctoral studies, Regulations of doctoral schools) (Anexa A.1.1.1a)
- (b) Methodology for the course of elections within CSUD, doctoral schools and evidence of their progress; (Annex A.1.1.1b)

See also

- Lista alegătorilor personal didactic CSUD;
- Lista alegătorilor studenți-doctoranzi CSUD;
- Proces-verbal privind rezultatele votului pentru conducători de doctorat CSUD
- Proces-verbal privind rezultatele votului pentru studenti-doctoranzi CSUD
- Lista candidatilor propusi pentru a face parte din CSUD
- Rezultatele votului de alegere a membrilor CSUD UAIC
- c) Methodology for the course of the contest for CSUD Director and evidence of its progress; (Annex $\underline{A.1.1.1.c}$)

See also

- Hotărârea Senatului UAIC de aprobare a metodologiei de concurs for Director of the Council for University Doctoral Studies (CSUD) from IOSUD – Universitatea "Alexandru Ioan Cuza" din Iași,
- <u>Metodologia de desfășurare a concursului pentru funcția de Director al Consiliului</u> Studiilor Universitare de Doctorat
- Decizie comisie de concurs director CSUD
- Decizia comisie contestatii director CSUD
- Anunt concurs director CSUD
- (d) Methodologies for the organisation and course of doctoral studies (admission of doctoral candidates, finalisation of doctoral studies); (Annex A.1.1.1d)

Admission was certified through following documents:

• Hotărârea Senatului Universității "Alexandru Ioan Cuza" din Iași nr. 3 din data de 27.03.2015 (Anexa Metodologie admitere doctorat 2015-2016)

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- Hotărârea Senatului Universității "Alexandru Ioan Cuza" din Iași nr. 10 din data de 31.03.2016 (Anexa Metodologie admitere doctorat 2016-2017)
- Hotărârea Senatului Universității "Alexandru Ioan Cuza" din Iași nr. 4 din data de 26.01.2017 (Anexa Metodologie admitere doctorat 2017-2018)
- Hotărârea Senatului Universității "Alexandru Ioan Cuza" din Iași nr. 5 din data de 25.01.2018 (Anexa Metodologie admitere doctorat 2018-2019).
- Hotărârea Senatului Universității "Alexandru Ioan Cuza" din Iași nr. 13 din data de 14.02.2019 (Anexa Metodologie admitere doctorat 2019-2020).
- Hotărârea Senatului Universității "Alexandru Ioan Cuza" din Iași din data de 30.01.2020 (Anexa Metodologie admitere doctorat 2020-2021).
- <u>Hotărârea Senatului Universității "Alexandru Ioan Cuza" din Iași nr. 12 din</u> 11.02.2021

The final period of the doctoral studies can bee seen Annex A.1.1.1a.

- (e) Existence of mechanisms to acknowledge the capacity of doctoral tutor and to equivalate the PhD obtained in other states (**Annex** $\underline{A.1.1.1e}$)
 - <u>Procedură de sistem privind recunoașterea automată de către Universitatea "Alexandru Ioan Cuza" din Iași a calității de conducător de doctorat obținută în instituții de învățământ universitar acreditate din străinătate;</u>
 - Procedură de sistem privind recunoașterea de către Universitatea "Alexandru Ioan Cuza" a diplomei de doctor și a titlului de doctor în științe sau într-un domeniu profesional obținute în instituții de învățământ universitar acreditate din străinătate
 - (f) Functional management structures (IOSUD / CSUD / The Council of the Doctoral School (regularity of sessions); (Annex <u>A.1.1.1.f</u>)
 See also
 - <u>Regulamentul de organizare și funcționare a Senatului universitar</u> <u>Regulamentul de organizare și funcționare a Consiliului de Administrație al Universității</u> "Alexandru Ioan Cuza" din Iași

The Doctoral School of History is managed by a director, selected from competition, and by the members of Doctoral School Council, elected by all members of Doctoral School. DSC meetings are periodically convoked in order to discuss and advise all acts necessary for a good activity. There are some proves of DSC periodically meetings (Annex C7)

(g) Doctoral studies Agreement. (Annex A.1.1.1.g)

The contract provides the rights and obligations of both parts, the condition when it is finished. There were also additional points according to the newest regulations. See <u>contract de studii</u>.

Beginning with 2018, at the Doctoral Agreement new articles were added, in order to respect the minimal standards of CNADTCU for doctoral degree.

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- A.1.1.2. The Regulation of the Doctoral School includes mandatory criteria, procedures, and standards for the aspects provided under art. 17, Para. 5 of the Government Decision No. 681 / 2011(Regulament-SDIstorie-incepand-cu-anul-univ2017-2018-anul1-aprobat-in-CSUD-n.pdf (uaic.ro))
- (1) The Regulation of the Doctoral School determines the organisation and course of doctoral studies programmes within the Doctoral School. (chap. II, art. 6, 1)
- (2) The Regulation of the Doctoral School is drafted up by The Council of the Doctoral School, after consulting all the doctoral tutors of the school and by observing the Institutional Regulations for the organisation and course of the doctoral studies programmes at the level of IOSUD.
- (3) The Regulation of the Doctoral School is validated by universal, direct, secret, and equal vote of the absolute majority of the doctoral tutors, members of the school.
- (4) The Regulation of the Doctoral School is approved by the CSUD.
- (5) The Regulation of the Doctoral School determines mandatory criteria, procedures, and standards concerning at least the following aspects:
- a) accepting new scientific tutors, and regulations related to the way in which a doctoral member may lose the capacity of Doctoral School member (chap. II, art 6, 4a);
- b) the decision-making mechanisms in what concerns the opportunity, structure, and contents of the training programme based on advanced university studies (chap. II, art. 6, 4b);
- c) the procedures of changing the doctoral tutor of a certain PhD candidate and the conflict-mediation procedures (chap. II, art 6, 4c);
- d) the conditions of interrupting a doctoral programme (chap. II, art 6, 4e);
- e) the ways to prevent fraud in scientific research, plagiarism included (chap. II, art. 6, 4f);
- f) ensuring access to research resources (chap. II, art. 6, 4g);
- g) the attendance obligations of PhD candidates, according to a methodology developed by The Ministry of Education, Research, Youth, and Sport (chap. II, art 6, h).
- (6) The Regulation may also apply to doctoral studies programmes carried out in joint tutoring, if the partnership agreement provides it (chap. II, art. 14).

4.1.2. Logistic resources

Standard ARACIS A.1.2. IOSUD has the logistic resources necessary for carrying out the mission of doctoral studies.

Indicator A.1.2.1. A.1.2.1. There is an effective and adequate computer system for the records of doctoral candidates and their academic path. (**Annex** $\underline{A.1.2.1}$)

Indicator A.1.2.2. A.1.2.2. There is a functioning computer software and evidence of its use for assessing the similarity percentage in all PhD theses. (Annex A.1.2.2)

The information system is eSims, used only by students and teachers. See <u>Protocolului de</u> finalizare a proiectului,.

UAIC has successfully involved in imlementing the **Registrului Matricol Unic** through many projects

• project FDI Asigurarea transparenței în gestiunea studenților și implementarea Registrului Matricol Unic al Universităților din România în cadrul Universității "Alexandru Ioan Cuza" din Iași, code: CNFIS-FDI-2016-0021, 2016, director: prof.univ.dr. Liviu-George MAHA;



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Asigurarea transparenței în gestiunea studenților și implementarea Registrului Matricol Unic al Universităților din România în cadrul Universității "Alexandru Ioan Cuza" din Iași, code: CNFIS-FDI-2017-0084, 2017, director: prof.univ.dr. Liviu-George MAHA. UAiC has a permanent concern on verifying the similitudes reports of doctoral thesis. Firstly, it was used the system Blackboard-Safe Assign, then renewed through new contracts (Contract Ballistic cell 2016 and 2017).

Since 2018, the new application is Turnitin, based on Moodle platform Turnitin agreement 1600. Standard ARACIS A.1.3. IOSUD ensures the optimal use of the financial resources. The income from the doctoral studies is completed by additional funding (aside the one from the Government). The Doctoral School of History assures optimally the ressources, through a good balance between costs and revenues. The students received grants, scholarships. Taxes are fair and the students always keep informed on it.

Indicator A.1.3.1. There is more than one ongoing Research or institutional development / Human resources grant when submitting the self-evaluation file, per field of doctoral studies or there are at least 2 Research or institutional development/ Human resources grants per field of doctoral studies obtained by the doctoral tutors within the field assessed in the last five years. (Anexa

There are many research projects in the Faculty (Politică de stat și destine personale. Recrtare si lăsare la vatră în provinciile dacomoesiceProiect cercetare PN-III-ID-P4- PCE- 2020-0383) (Bîrliba), 2020; Planuri de orașe din Principatele Române în arhivele europene: noi interpretări și impact asupra cunoașterii patrimoniului urban (Proiect cercetare PN-III-ID-P4- PCE-2020-1139) (Rădvan), Românii și Muntele Athos: mănăstiri, arhive, pelerinaje (Proiect cercetare PN-III-IDP4-PCE- 2020-1139) (Zahariuc)

Indicator *A.1.3.2. A.1.3.2. Upon submitting the evaluation, the doctoral candidates benefitting for more than six months from other funding sources than government funds, through scholarships granted by natural or legal entities, or who are financially supported by Research or institutional development / Human resources grants do not account for less than 10%. See Annex A.1.3.2b.

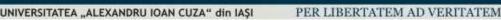
Indicator *A.1.3.3. At least 5% of the amounts for the doctoral grants obtained by the universities through institutional agreements and schooling fees from doctoral candidates (who are enrolled in a fee-based system) are used for reimbursing the professional training fees of the doctoral candidates (attending conferences, summer schools, courses, internships abroad; publishing scientific papers; or other specific forms of dissemination, etc). The University funded at its own expense for The History Doctoral school 15 out of 69 doctoral candidates (over 20%), to which we add expenses for trips, materials from the funds of the Doctoral School. Participants list is be seen in this **Annex A.1.3.3**

4.1.3. Research infrastructure

Criterion A.2. Research infrastructure

Standard A.2.1. The spaces and material endowment of IOSUD / of the Doctoral School enable research activities, in the field assessed, in agreement with the mission and objectives undertaken (computers, specific software, devices, laboratory equipment, library, access to international databases, etc). Research infrastructure and the research services offer are available publicly through a specific platform (Annex A.2.1.1 a, Annex A.2.1.1 b)

Indicator A.2.1.1. IOSUD/Doctoral school presents evidence on holding or renting spaces for research activities specific to doctoral programmes (laboratories, experimental fields, research sites, etc). (Annex A.2.1.1 a, Annex A.2.1.1 b)





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Indicator A.2.1.2. IOSUD/Doctoral school has concluded collaboration agreements with higher education establishments, with research institutions, with research networks for using in partnership the various research infrastructures and presents publicly the research services offer through a specific platform. (**Annex A.2.1.2**)

Indicator A.2.1.3. The spaces and material endowment of IOSUD/of the Doctoral School enable research activities, in the field assessed, in agreement with the mission and objectives undertaken (computers, specific software, devices, laboratory equipment, library, access to international databases, etc). (**Annex A.2.1.1a, Annex A.2.1.1b**)

Research infrastructure and the research services offer are available publicly through a specific platform. The Faculty's spaces provide videprojection systems and there are laptops acquired though research grants. There are equipments provided by ARHEOINVEST platform, accessed both by researchers and students (total station, magnetometer etc.).

4.1.4. Human resources. Scientific tutors

Criterion A.3 The quality of human resources

Standard A.3.1. At the level of each doctoral school, there is **a qualified staff**, with the experience necessary for carrying out the programme of doctoral studies.

Indicator A.3.1.1. Within the doctoral programme, ten scientific tutors (a capacity obtained according to the laws into effect) conduct their activity and all of them (100%) meet the minimal CNATDCU standards into effect upon submitting the evaluation, necessary and mandatory to obtain the habilitation certificate (Annex A.3.2.2a, A.3.2.2b, A.3.2.2c, A.3.2.2d, A.3.2.2e, A.3.2.2f, A.3.2.2g, A.3.2.2h, A.3.2.2i, A.3.2.2j)

Indicator A.3.1.2. 8 out of 10 (80%) doctoral tutors in the doctoral field evaluated are tenured within IOSUD. (Annex $\underline{A.3.1.2}$)

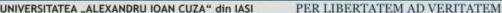
Indicator A.3.1.3. The subjects within the training programmes based on advanced university studies specific to the field are taught by university teachers or researchers with the capacity of doctoral tutor / habilitated, professor / 1st-degree scientific researcher or associate professor / 2n^d-degree scientific researcher with proven expertise in the field of the subjects taught. In the subjects that teach transverse skills or that contain strictly specialised chapters, the course holder may invite other tenured teachers or specialists within or outside the research field, for teaching purposes and http://history.uaic.ro/studenti/scoala-doctorala/programe-analitice.

Indicator ***A.3.1.4.** The weighting of the doctoral tutors overseeing more than 8 doctoral candidates – but not more than 12 doctoral candidates (3 or 4 years, depending on the field, to which one may add the legally accepted extensions) and 15 doctoral candidates totally – does not exceed 5%. (**Annex A3.1.4**)

4.1.5 Scientific activity visibility

Standard A.3.2. The doctoral tutors within the Doctoral School carry out an internationally-acknowledged scientific activity.

Indicator A.3.2.1. At least 50% of the doctoral tutors in the field under evaluation have minimum 5 publications indexed Web of Science or ERIH in journals in impact factors, or other achievements, with relevant significance for the field, comprising international contributions showing progress in the scientific research—development—innovation for the field evaluated. The doctoral tutors mentioned above have had international visibility in the last five years, namely: the capacity of member in the scientific committees of international publications and conferences; the





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capacity of member in the boards of international professional associations; the capacity of guest within the conferences or groups of experts carried out abroad, or the capacity of member in PhD thesis defence commissions at universities abroad or in joint tutoring with a university abroad. (Annex A.3.2.2a, A.3.2.2b, A.3.2.2c, A.3.2.2d, A.3.2.2e, A.3.2.2f, A.3.2.2g, A.3.2.2h, A.3.2.2i, A.3.2.2j).) and: http://history.uaic.ro/wp-content/uploads/2021/03/CV-L.-Birliba-ian.-2021.pdf, http://history.uaic.ro/wp-content/uploads/2020/11/Zugravu-Nelu-autoevaluare-CNATDCUhttp://history.uaic.ro/wp-content/uploads/2018/04/CV-A.F.Platon-apr.-2018.pdf,

24nov20.pdf,

http://history.uaic.ro/wp-content/uploads/2021/03/CV-P.-Zahariuc-mart.-2021.pdf,

http://history.uaic.ro/wp-content/uploads/2021/03/CV-L.-Radvan-mart.-2021.pdf,

http://history.uaic.ro/wp-content/uploads/2020/06/CV-LPilat-iunie2020.pdf,

http://history.uaic.ro/wp-content/uploads/2021/03/CV-C.-Topor-mart.-2021.pdf,

http://history.uaic.ro/wp-content/uploads/2012/09/CV-L.-Leustean-oct.-2015.pdf,

http://history.uaic.ro/wp-content/uploads/2021/01/CV-Prof.-Gh.-Iacob-ian2021.pdf.

Indicator *A.3.2.2. Over 50% of the doctoral tutors ascribed to a field of doctoral studies are still active scientifically, obtaining at least 25% of the requested score pursuant to the minimal CNATDCU standards into effect on the date of the evaluation, necessary and mandatory to obtain the habilitation certificate, based on the scientific outcomes of the last five years (Annex A.3.2.2a, A.3.2.2b, A.3.2.2c, A.3.2.2d, A.3.2.2e, A.3.2.2f, A.3.2.2g, A.3.2.2h, A.3.2.2i, A.3.2.2j).

4. 2. (B) EDUCATIONAL EFFICACITY

4.2.1 Admission to the Doctoral School

Criterion B.1. The number, quality, and diversity of the candidates who enrolled in the admission contest

Standard B.1.1. The establishment organising the doctoral studies has the capacity of attracting a greater number of candidates than the number of spots available.

Indicator *B.1.1.1. The ratio between the number of graduates at master studies level at other higher education establishments in the country or abroad who enrolled in the admission contest for doctoral studies in the last five years and the number of state-subsidise spots available within the Doctoral School is 0.24 (9 out of 37 in the last five years) (Annex B.1.1.1a, B.1.1.1b, B.1.1.1c) The tables within the annex certify these percentages.

Indicator B.1.2.1. The dropout rate of doctoral candidates two years from admission does not exceed 30% (Annex B.1.2.1) there were 84 students in the last five years, 16 get exmatriculated (19%), as shown by the tables in the annex.

4.2.2. Contents of doctoral studies programmes

Criterion B.2. Contents of doctoral studies programmes

Standard B.2.1. The training programme based on advanced university studies is adequate for improving the research skills of the doctoral candidates and for improving the ethical behaviour in science.

Indicator B.2.1.1. The training programme based on advanced university studies comprises at least three relevant subjects for training in the scientific research of the doctoral candidates, of which at least a subject focuses on the advanced study of research methodology and / or statistical data processing (Annex B.2.1.1) and http://history.uaic.ro/studenti/scoala-doctorala/programeanalitice/

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Indicator B.2.1.2. There is at least a subject focusing on ethics in the scientific research and intellectual property or well-delimited themes on these topics within a subject taught in the doctoral programme (**Annex B.2.1.1**) and http://history.uaic.ro/wp-content/uploads/2021/02/plan-inv-doctorat-2020-2023.pdf

Compulsory subjects	Course + Seminar	Assessment form	Credits
Orientations in contemporary historiography: major themes and methods	2+2	Examination	10
Academic ethic and integrity	2+2	Examination	10
Complementary training module	2+2	Colloquium	10
TOTAL		2E+1C	30

Indicator B.2.1.3. IOSUD has created the mechanisms ensuring that the training programme based on advanced university studies, specific to the field evaluated, target the "learning outcomes", stating the skills, abilities, and attitudes that the doctoral candidates should acquire after covering each subject or through research activities (**Annex B.2.1.1**) and http://history.uaic.ro/wp-content/uploads/2021/02/plan-inv-doctorat-2020-2023.pdf

Indicator B.2.1.4. Throughout the entire duration of the doctoral programme, the doctoral candidates in the field benefit from the counselling / guiding of functional commission, an aspect reflected by guiding and written feedback or regular meetings, in addition to those provided by the scientific tutor (**Annex B.2.1.4a, B.2.1.4b, B.2.1.4c**).

4.2.3. Valorisation of doctoral field research outcomes

Criterion B.3. The outcomes of the doctoral studies and procedures to evaluate them – title

Standard B.3.1. The research is highlighted by the doctoral candidates through presentations at scientific conferences, scientific publications, technological transfer, patents, products, service orders (**Annex B.3.1.1**)

<u>Indicator B.3.1.1.</u> For the field evaluated, we make available to the evaluation commission at least one paper or another relevant contribution per student doctoral student who has obtained the title of doctor in the last five years (**Annex B.3.1.1**)

<u>Indicator *B.3.1.2.</u> The ratio between the number of presentations (including those featured as posters, exhibitions) included in prestigious international events (organised in the country or abroad) and the number of doctoral candidates who completed the doctoral studies in the period evaluated (the last five years) is at least 1. (Annex B.3.1.1)

Standard B.3.2. The Doctoral school has benefitted from the input of numerous external scientific referents in the public defence commissions for doctoral theses.

Indicator *B.3.2.1. The number of PhD theses allocated to a certain referent from a higher education establishment other than the IOSUD evaluated must not exceed two (2) for the theses overseen by the same doctoral tutor, in one year (Annex <u>B.3.2.1-1</u>, <u>B.3.2.1-2</u>, <u>B.3.2.1-3</u>, <u>B.3.2.1-3</u>, <u>B.3.2.1-1</u>, <u>B.3.2.1-11</u>, <u>B.3.2.1-11</u>, <u>B.3.2.1-12</u>,





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<u>B.3.2.1-13</u>, <u>B.3.2.1-14</u>, <u>B.3.2.1-15</u>, <u>B.3.2.1-16</u>, <u>B.3.2.1-17</u>, <u>B.3.2.1-18</u>, <u>B.3.2.1-19</u>, <u>B.3.2.1-20</u>, <u>B.3.2.1-21</u>, <u>B.3.2.1-22</u>, <u>B.3.2.1-23</u>, <u>B.3.2.1-24</u>, <u>B.3.2.1-25</u>)).

Indicator *B.3.2.2. The ratio between the number of PhD theses allocated to a certain referent from a higher education establishment other than the one organising the defence of the PhD thesis and the number of PhD theses defended in the same field of doctoral studies within the Doctoral School must not exceed 0.3, related to the overall situation for the last five years. (Annex B.3.2.1-1, B.3.2.1-2, B.3.2.1-3, B.3.2.1-4, B.3.2.1-5, B.3.2.1-6, B.3.2.1-7, B.3.2.1-8, B.3.2.1-9, B.3.2.1-10, B.3.2.1-11, B.3.2.1-12, B.3.2.1-13, B.3.2.1-14, B.3.2.1-15, B.3.2.1-16, B.3.2.1-17, B.3.2.1-18, B.3.2.1-19, B.3.2.1-20, B.3.2.1-21, B.3.2.1-22, B.3.2.1-23, B.3.2.1-24, B.3.2.1-25, B.3.2.1-26, B.3.2.1-27).

4.3. (C) QUALITY MANAGEMENT

4.3.1 Internal quality assurance system

Criterion C.1. Existence and regular enforcement of the internal quality assurance system

Standard C.1.1. There is an institutional framework and a procedure is enforced to monitor internal quality assurance; there are also relevant internal quality assurance policies.

Indicator *C.1.1.1. IOSUD has developed and it enforced regularly an internal evaluation and monitoring procedure of doctoral schools' evolution. The criteria evaluated include necessarily: (a) the scientific activity of the doctoral tutors; (b) the infrastructure and logistic necessary for the research activity; (c) the subsequent procedures and standards based on which we organise the doctoral studies. At the IOSUD level, the <u>Operational procedure on the internal evaluation and monitoring of doctoral schools at "Alexandru Ioan Cuza" University Iași</u> has been approved. It determines the internal evaluation methodology of doctoral schools and fields of doctoral studies for their accreditation and regular evaluation pursuant to the Assessment methodology for doctoral studies developed in agreement with the current legislation. The application of the procedure is reified in the regular reports drafted up at the level of the Doctoral School. The <u>Institutional Regulations for the organisation and course of the doctoral studies</u> sets internal quality assurance policies applied at the level of all doctoral schools at UAIC. (Annex Anexa C.1.1.1).

Indicator*C1.1.2. Throughout the doctoral training programme, several mechanisms are implemented to get feedback from the doctoral candidates, thus pointing out their needs and their satisfaction level concerning the doctoral programme overall. The purpose is to improve continually the academic and administrative services provided (**Annex Anexa C.1.1.2**).

4.3.2 Transparency of information and accessibility to learning resources

Standard C.2.1. The relevant information for doctoral candidates, for future candidates, and public information are available electronically.

Indicator C.2.1. The Doctoral school, through the IOSUD, publishes on the website of the host institution – observing the general guidelines regarding data protection – information such as:

a) The Regulation of the Doctoral School; http://history.uaic.ro/wp-content/uploads/2021/02/Regulament-SDIstorie-incepand-cu-anul-univ2017-2018-anul1-aprobat-in-CSUD-n.pdf

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- b) The Admission Regulation; http://history.uaic.ro/wp-content/uploads/2018-anul1-aprobat-in-CSUD-n.pdf, chap. III, art. 16, http://history.uaic.ro/wp-content/uploads/2021/05/Metodologia-de-admitere-la-doctorat-2021-2022m.pdf
- c) The doctoral studies agreement; http://history.uaic.ro/wp-content/uploads/2021/02/Regulament-SDIstorie-incepand-cu-anul-univ2017-2018-anul1-aprobat-in-CSUD-n.pdf, chap. II. art. 10
- d) The Regulation for graduating, including the procedure for the public defence of the thesis; http://history.uaic.ro/wp-content/uploads/2021/02/Regulament-SDIstorie-incepand-cu-anul-univ2017-2018-anul1-aprobat-in-CSUD-n.pdf, art. 28
- e) The contents of study programmes; http://history.uaic.ro/specializari/studii-universitare-de-doctorat/
- f) the scientific profile and the thematic areas/research topics of the doctoral tutors in the field (as well as their institutional contact data)
- g) the list of the doctoral candidates in the field with their basic information (enrolment year; tutor); http://history.uaic.ro/studenti/scoala-doctorala/
- h) information about the standards for writing a PhD thesis; http://history.uaic.ro/wp-content/uploads/2021/02/Annex-1.-Ghidul-de-elaborarea-a-unei-teze-de-doctorat.pdf
- i) links to the abstracts of PhD theses to be defended publicly, as well as the date, hour, and place for the defence, at least 20 days beforehand.

Relevant information for the doctoral candidates and public information may be consulted on the website University and on the webpage of the Doctoral (http://history.uaic.ro/studenti/scoala-doctorala/). Such information includes: The Regulation of the Doctoral School; The Admission Regulation; the doctoral studies agreement; The Regulation for graduating, including the procedure for the public defence of the thesis; the contents of study programmes; the scientific profile and the thematic areas/research topics of the doctoral tutors in the field (as well as their institutional contact data); the list of the doctoral candidates in the field with their basic information (enrolment year; tutor); information about the standards for writing a PhD thesis.

Standard C.2.2. Standard C.2.2. IOSUD / The Doctoral school ensures for doctoral candidates access to the resources necessary for their doctoral studies.

Indicator C.2.2.1. All the doctoral candidates have free access to a platform comprising relevant academic databases for the fields of doctoral studies organised.

"ALEXANDRU IOAN CUZA" UNIVERSITY Iași provides the doctoral candidates with the possibility of accessing the following databases: Science Direct Freedom Collection, Scopus, SciFinder (CAS), MathSciNet, etc. At the same time, through the "Mihai Eminescu" Central University Library in Iași, they may access other representative databases, such as SpringerLink Journals, ProQuest Central, Emerald Journals, Science Journals, Thompson Reuters, Oxford Journals, SAGE Journals HHS Collection, EBSCO, Wiley Journals, etc. (Annex C.2.2.1)

Indicator C.2.2.2. Each student doctoral student may access – upon request – an electronic system for checking the similarity with other existing scientific or artistic creations.

UAIC has purchased the <u>Turnitin</u> application – an electronic system for checking the similarity with other existing scientific or artistic creations. It is accessible to all the teaching staff members at "ALEXANDRU IOAN CUZA" UNIVERSITY Iaşi, doctoral tutors included; the doctoral candidates may also access it, with the consent of their doctoral tutor (Annex <u>A.1.2.2</u>).



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Indicator C.2.2.3. All the doctoral candidates can access the scientific research laboratories or other facilities, depending on the specifics of the field/s within the Doctoral School, in agreement with internal regulations. (**Annex**) http://history.uaic.ro/studenti/scoala-doctorala/

Degree of doctoral field internationalisation

Standard C.3.1. There is a strategy (and it has been enforced) for increasing the internationalisation degree of the doctoral studies.

Indicator C.3.1.1. The field of studies evaluated – through the corresponding Doctoral school and through the IOSUD – has concluded Mobility Agreements with universities abroad, with research institutes, with companies carrying out activities in the studied field – for the mobility of doctoral candidates and teachers (for instance, ERASMUS agreements for doctoral studies) and at least 20% of the doctoral candidates have completed a research internship abroad or another form of mobility, such as attending international scientific conferences. (**Annex C.3.1.1, B.3.1.1**)

Indicator C.3.1.2. The study field evaluated supports – even financially – the organisation of PhDs in international joint tutoring and it has invited world-class experts to hold courses/ lectures for the doctoral candidates. (**Annex C.3.1.2**)

Indicator C.3.1.3. The internationalisation of activities within the doctoral studies is supported by concrete measures (for instance, attending international fairs to attract international doctoral candidates; including international experts in guiding commissions or PhD theses defence commissions, etc).

4.4. Strategies and procedures enforced at the level of the Doctoral School as measures for continual improvement of doctoral programme quality

The History Doctoral school has a strategy for continually attracting doctoral candidates through publications and participations in conferences. Hence, during the Days of the University, we organise annually a Doctoral School Session. Furthermore, the doctoral students are encouraged to attend conferences and congresses where other scientific tutors are present. We also organise Advanced Studied Schools for Doctoral candidates.

4.5. Additional information

The History Doctoral school has obtained a score of 100[^] during the validation process by the CNATDCU for PhD theses and the same percentage for maintaining the qualifiers proposed by the defence commission.

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5. SCHEDULE OF ANNEXES

electronically, by accessing the links included in the internal evaluation report text. The Annexes also comprise elements attesting the validity for all information featured in the internal evaluation report. When applicable, the information is featured by gender.

- 1. Anexa A.1.1.1.1a- Fisă autoevaluare G. Iacob
- 2. Anexa A.1.1.1.1b- Fișă autoevaluare L. Bârliba
- 3. Anexa A.1.1.1c- Fisă autoevaluare G. Cliveti
- 4. Anexa A.1.1.1d- Fișă autoevaluare L. Pilat
- 5. Anexa A.1.1.1.1e- Fișă autoevaluare L. Leuștean
- 6. Anexa A.1.1.1.1f- Fișă autoevaluare A.F. Platon
- 7. Anexa A.1.1.1.1g- Fișă autoevaluare L. Rădvan
- 8. Anexa A.1.1.1h Fișă autoevaluare C. Topor
- 9. Anexa A.1.1.11i- Fișă autoevaluare P. Zahariuc
- 10. Anexa A.1.1.1.j- Fișă autoevaluare N. Zugravu
- 11. Anexa A.1.1.1a Regulament 2018
- 12. Anexa A.1.1.1b- Metodologie organizare
- 13. Anexa A.1.1.1c-Metodologie concurs director CSUD
- 14. Anexa A.1.1.1d-Metodologie admitere 2018
- 15. Anexa A.1.1.1e-Proceduri operaționale
- 16. Anexa A.1.1.1f-Valorificarea activității științifice
- 17. Anexa A.1.1.1g-Contract de studii doctorale
- 18. Anexa A.1.2.1.- Manual utilizare eSims
- 19. Anexa A.1.2.2.- Contract Turnitin
- 20. Anexa A.1.3.1.- Proiecte de cercetare
- 21. Anexa A.1.3.2a- Decizii înmatriculare
- 22. Anexa A.1.3.2b Granturi doctorale
- 23. Anexa A.1.3.3 Mobilități internaționale
- 24. Anexa A.2.1.1a Dotări și echipamente
- 25. Anexa A.2.1.1b- Dotări laboratoare
- 26. Anexa A.2.1.2. Acorduri de colaborare
- 27. Anexa A.3.1.2. Conducători de doctorat
- 28. Anexa A.3.2.2a Fişa punctaj 25% G. Iacob
- 29. Anexa A.3.2.2b Fișă punctaj 25% L. Bârliba
- 30. Anexa A.3.2.2c- Fişa punctaj 25% G. Cliveti
- 31. Anexa A.3.2.2d Fişa punctaj 25% L. Leuştean
- 32. Anexa A.3.2.2e Fisa punctaj 25% L. Pilat
- 33. Anexa A.3.2.2f Fişa punctaj 25% A.F. Platon

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34. <u>Anexa A.3.2.2g</u> – Fişa punctaj 25% L. Rădvan
35. <u>Anexa A.3.2.2h</u> – Fişa punctaj 25% C. Topor
36. <u>Anexa A.3.2.2i</u> – Fişa punctaj 25% P. Zahariuc
37. <u>Anexa A.3.2.2j</u> – Fişa punctaj 25% N.Zugravu
38. Anexa B.1.1.1a - Repartizare granturi doctorale
39. <u>Anexa B.1.1.1b</u> – Situație absolvenți masterat
40. <u>Anexa B.1.1.1c</u> – Situație masteranzi externi
41. <u>Anexa B.1.2.1</u> – Situație exmatriculări
42. <u>Anexa B.2.1.1</u> – Plan de învățământ
43. <u>Anexa B.2.1.4a</u> – Comisii îndrumare 2018-2019
44. <u>Anexa B.2.1.4b</u> – Comisii îndrumare 2019-2020
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46. Anexa B.3.1.1. – Rezultate cercetare doctoranzi
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52. <u>Anexa B.3.2.1-6</u> – Decizie comisie susținere
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57. Anexa B.3.2.1-11 – Decizie comisie susținere
58. <u>Anexa B.3.2.1-12</u> – Decizie comisie susținere
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60. Anexa B.3.2.1-14 – Decizie comisie susținere
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63. Anexa B.3.2.1-17 – Decizie comisie susținere
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67. Anexa B.3.2.1-21 – Decizie comisie susținere
68. <u>Anexa B.3.2.1-22</u> – Decizie comisie susținere
69. <u>Anexa B.3.2.1-23</u> – Decizie comisie susținere
70. <u>Anexa B.3.2.1-24</u> – Decizie comisie susținere
71. <u>Anexa B.3.2.1-25</u> – Decizie comisie susținere
72. Anexa B.3.2.1-26 - Decizie comisie susținere
73. Anexa B.3.2.1-27 - Decizie comisie susținere

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- 74. Anexa C.1.1.1. Procedură evaluare și monitorizare
- 75. Anexa C.1.1.2. Chestionar absolvenți
- 76. Anexa C.2.2.1. -Contract ANELIS
- 77. Anexa C.3.1.1. Situație mobilități doctoranzi
- 78. Anexa C.3.1.2 Situație doctorate în cotutelă
- 79. Anexa C7. Convocatoare ședințe CSD
- 80. Anexa Contract Ballistic cell 2016
- 81. Anexa Contract Ballistic cell 2017
- 82. Anexa Contract Turnitin 2019
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- 84. Anexa Protocol finalizare proiect
- 85. Anexa Turnitin agreement 1600

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Doctoral School Director, Prof. PhD. Liviu Pilat